

03-29-01

J10420 U.S. PTO  
03/27/01

FORM PTO-1082

81788.0191

Express Mail Label No. EL 713 624 587 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The application of:

Junichi KINOSHITA

Serial No: Not assigned

Filed: March 27, 2001

For: WAVEGUIDE OPTICAL DEVICE

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

## CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 8 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 15 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☐ An assignment of the invention to KABUSHIKI KAISHA TOSHIBA ☒ is enclosed ☐ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.☒ A certified copy of Japanese Patent Application No. 2000-089338 filed March 28, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☐ IDS enclosed (☐ with reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

## CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE		LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	18	-20	0		LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	1	-3	0		LG=\$80 SM=\$40	\$80	0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)								0
D	MULTIPLE-DEPENDENT CLAIMS FEE								LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135 \$ 0
E	BASIC FEE								LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355 \$ 710 00
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)								\$ 710 00
G	ASSIGNMENT RECORDING FEE								\$ 40 \$ 40
	*LIST INDEPENDENT CLAIMS 1								

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☒ A check in the amount of \$ 710.00 to cover the filing fee is enclosed.☒ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☒ Any additional filing fees required under 37 C.F.R. 1.16☒ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,  
HOGAN & HARTSON LLP.

By:

Louis A. Mok  
Registration No. 22,585  
Attorney for Applicant(s)

Date: March 27, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Junichi KINOSHITA

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For: WAVEGUIDE OPTICAL DEVICE

Art Unit: Not assigned

Examiner: Not assigned

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"Express Mail" Mailing Label No. EL 713 624 587 US

Date of Deposit: March 27, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 710.00 as filing fee
- ☒ patent application ( 15 page(s) of specification; 18 claim(s); 1 page(s) of abstract
- ☒ 8 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- ☒ certified copy of Japanese patent application No. 2000-089338 which was filed March 28, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: March 27, 2001

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Emily Cruz

Name of person mailing papers

Signature